

2023
ANNUAL CONFERENCE
NASHVILLE



AGENDA AT A GLANCE

Wednesday, September 6, 2023

10:00am - 1:00pm	Community Service at Nashville Rescue Mission (Lunch Shift)
4:00pm - 7:00pm	Community Service at Nashville Rescue Mission (Dinner Shift)
5:30pm - 7:30pm	Young Professionals Social (Bad Axe Throwing)

Thursday, September 7, 2023

11:30am - 12:30pm	Committee Fair
1:00pm - 1:30pm	Welcome & Announcements
1:30pm - 2:30pm	A Ponzi Scheme by Any Other Name: Is it so Sweet?
2:30pm - 2:45pm	Break with our Sponsors
2:45pm - 3:45pm	Every Rose Has Its Thorn: A Practical Review of Thorny Discovery Issues
3:45pm - 4:00pm	Break with our Sponsors
4:00pm - 5:15pm	Back in the Saddle Again: Updates on Receivership Litigation
5:30pm - 6:30pm	Young Professionals Happy Hour
6:30pm - 7:30pm	Welcome Reception
7:30pm	Sponsor Dinners by Invitation

Friday, September 8, 2023

8:00am - 9:00am	Breakfast & Announcements
9:00am - 10:00am	Always on my Mind: Tax Issues in Receiverships
10:00am - 10:30am	Break with our Sponsors
10:30am - 11:30am	Building a High Performing Diverse Receivership Team
11:30am - 1:00pm	NAFER Luncheon & Fireside Chat with CFTC Commissioner Summer Mersinger
1:00pm - 2:00pm	Walking the Line: Fiduciary Duty & the Equity Receiver
2:00pm - 2:30pm	Break with our Sponsors
2:30pm - 3:30pm	Avoiding Tears in your Beer in Cross-Border Receiverships
3:30pm - 4:00pm	Break with our Sponsors
4:00pm - 5:15pm	Receivers' Feud, A Game Show
5:45pm - 7:00pm	NAFER Reception
7:30pm	Sponsor Dinners by Invitation

Saturday, September 9, 2023

7:00am - 8:00am	Breakfast & Announcements
8:00am - 9:00am	NAFER Annual Meeting
9:00am - 10:15am	Dancing the Crypto Two Step: Effective Tips for Navigating Digital Asset Disputes
10:15am - 10:45am	Break with our Sponsors
10:45am - 12:00pm	Judges' Panel



NAFER is looking forward to its 12th Annual Conference at the Grand Hyatt in Nashville, TN, September 7 - 9, 2023. Make plans to connect with your fellow NAFER members, receivers, their attorneys and other professionals, judges and regulators, and learn about trending issues in the receivership industry. This year the conference kicks off on Thursday, September 7 at 1:00 PM with education sessions and a welcome reception. Stay through until Saturday afternoon when our panel of esteemed judges will address key topics from pre-appointment of a receiver through dismissal.

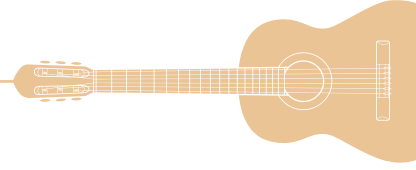
ABOUT NAFER

The National Association of Federal Equity Receivers (NAFER) is made up of leading professionals who work **in the area of** receivership, insolvency, bankruptcy, restructuring and international asset recovery.

NAFER's mission is to be the preeminent organization for federal equity receivers, their professionals, and others seeking to become involved in federal equity receivership work. NAFER **is dedicated to providing** excellent receivership education and networking opportunities and instilling confidence in those selecting NAFER receivers and their professionals.

Education Sessions

Earn CLE/CPE credits with this distinguished lineup of education!



THURSDAY, SEPTEMBER 7, 2023

1:30pm - 2:30 pm

A PONZI SCHEME BY ANY OTHER NAME: IS IT SO SWEET?

The panel will discuss the range of issues that Receivers need to consider if they have, or think they may have, a Ponzi scheme case. The benefits and burdens of a label of a Ponzi scheme will be addressed, along with the key elements necessary to establish a Ponzi scheme. One of the potential benefits - the Ponzi Scheme Presumption - will be analyzed to best equip a receiver and receiver's team in navigating through what can be complex and potentially costly litigation. A Ponzi scheme finding also has less obvious benefits in the claims and distribution process as well in tax administration, and the interplay with parallel criminal proceedings can result in additional benefits.

PRODUCER



Kathy Bazoian Phelps
Raines Feldman LLP
Los Angeles, CA

PANELISTS



Melissa Davis
Kapila Mukamal
Fort Lauderdale, FL



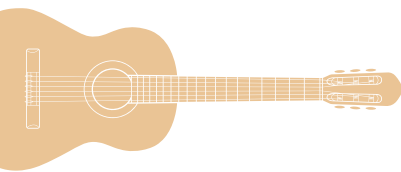
Teresa Verges
US Securities &
Exchange Commission
Miami, FL



Maria M. Yip
Yip Associates
Miami, FL



Education Sessions



THURSDAY, SEPTEMBER 7, 2023 (continued)

2:45pm – 3:45 pm

EVERY ROSE HAS ITS THORN: A PRACTICAL REVIEW OF THORNY DISCOVERY ISSUES

Receivers involved in litigation often face thorny issues related to discovery. This panel will provide a practical overview to such questions as: Who controls the attorney-client privilege? Does the answer change if counsel was representing more than one party? Has all potential evidence been preserved and does the receiver have the ability to comply with discovery demands? What are the consequences if such potential evidence hasn't been preserved or the receiver can't compel production, e.g. servers are in Panama or computers need a password? What is required for a witness to properly assert the privilege against self-incrimination? Does it make a difference if the statements are given informally?

PRODUCER



Deborah D. Williamson
Dykema
San Antonio, TX

PANELISTS



Thaddeus R. Kleckley
Venable LLP
Miami, FL



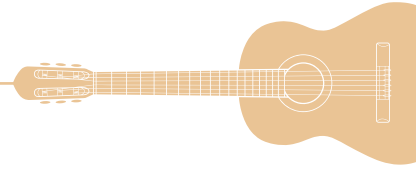
Erik Weinick
Otterbourg PC
New York, NY



Hon. Andrew B. Altenburg
U.S. Bankruptcy Court
District of New Jersey



Education Sessions



4:15pm - 5:30pm

BACK IN THE SADDLE AGAIN: UPDATE ON RECEIVERSHIP LITIGATION

This session will focus on developments in receivership litigation since NAFER's last annual conference in 2022. Priority will be given to published opinions involving receivers or enforcement agencies followed by ongoing cases, settlements, and if time permits, non-receivership cases of interest.

PRODUCER



Jared Perez
Jared J. Perez P.A.,
Clearwater, FL



Katherine Donlon
Johnson, Cassidy,
Newlon & DeCort,
Tampa, FL



Mark E. Dottore
Dottore Companies LLC,
Cleveland, OH



Michael Rachlis
Rachlis Duff & Peel, LLC,
Chicago, IL

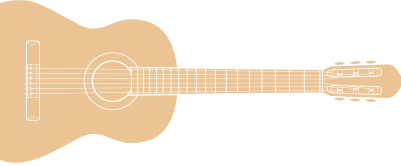


Jeffrey C. Schneider
Levine Kellogg Lehman
Schneider Grossman LLP,
Miami, FL

PANELISTS



Education Sessions



11:30AM - 1:00PM
Join us for the NAFER
Luncheon & Fireside Chat
with CFTC Commissioner
Summer K. Mersinger



FRIDAY, SEPTEMBER 8, 2023

9:00am - 10:00am

ALWAYS ON MY MIND: TAX ISSUES IN RECEIVERSHIPS

Tax issues raised in federal equity receiverships are as varied as Ponzi schemes. Receivers and their advisors will benefit from an understanding of the authority one can and cannot rely on; the pitfalls of just not filing returns; the available IRS resources; and best practices arising from the real life experience of the panelists.

PRODUCER



Julia M. Damasco
Miller Kaplan Arase, LLP
Santa Fe, NM

PANELISTS



Eileen Castle
Baker Tilly US
Dallas, TX



James L. Kopecky
Kopecky Schumacher
Rosenburg LLC,
San Francisco, CA



Nicholas Sanchez
Miller Kaplan Arase, LLP
Santa Barbara, CA

10:30am - 11:30am

BUILDING A HIGH PERFORMING DIVERSE RECEIVERSHIP TEAM

From Harvard Business Review to McKinsey, the data driven business case for diversity, equity and inclusion is compelling. Diverse teams are consistently high performing as team members bring different skill sets, lived experience and perspectives together to provide new and innovative ideas and fresh ways of looking at problems. Diverse teams drive retention by allowing employees to feel more valued and accepted. In our work as federal equity receivers, “out of the box” problem solving, cultural intelligence and representation can matter as much as legal, financial and forensic capabilities. Therefore, building a receivership team that is able to leverage cultural affinity, shared backgrounds and collaboration drives successful outcomes. Join us for a discussion of how the SEC’s D&I Strategic Plan goes to the core of its “mission effectiveness” mandate and what we can all do to play a part in bringing all voices to the receivership arena.

Session Sponsored by



PRODUCER



John Lewis, Jr.
Shook, Hardy & Bacon, LLP
Houston, TX

PANELISTS



B. David Fraser
US Securities & Exchange
Commission
Forth Worth, TX



Nekia Hackworth Jones
US Securities & Exchange
Commission
Atlanta, GA

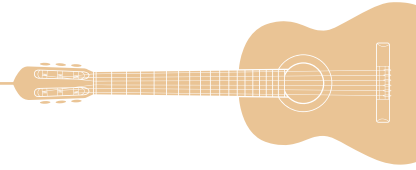


S. Gregory Hays
Hays Financial
Consulting, LLC
Atlanta, GA



Nichola L. Timmons
US Securities &
Exchange Commission
Washington, DC

Education Sessions



1:00pm – 2:00pm

WALKING THE LINE: FIDUCIARY DUTY & THE EQUITY RECEIVER

It is a given that receivers and their professionals owe duties. But, what exactly are those duties and to whom are they owed – to the court, to the estate, to the investors, to the creditors, to interest holders or to all of them? How should the receiver navigate these duties? Our panelists bring a depth of experience as receivers, professionals, regulators and jurists to explore these issues as well as to offer practical advice for navigating them.

PRODUCER



Michael D. Napoli
Akerman, LLP
Dallas, TX

PANELISTS



JonMarc Buffa
Commodity Futures
Trading Commission
Washington, DC



Hon. David O. Carter
U.S. District Court
Central District of
California



Tracy S. Combs
US Securities &
Exchange Commission
Salt Lake City, UT



Kevin B. Duff
Rachlis Duff & Peel, LLC
Chicago, IL



Kathy A. Johnson
JS Held, LLC
Yucaipa, CA

2:30pm – 3:30pm

AVOIDING TEARS IN YOUR BEER IN CROSS-BORDER RECEIVERSHIPS

The session will focus on developments and trends in cross-border receiverships initiated by U.S. agencies. The panel will discuss: critical early case issues in cross-border receiverships; working with foreign courts and foreign fiduciaries in cross-border receiverships; and, the differences between U.S. and foreign courts in relation to both administration and distributions. Bradley Sharp and Jonathon Perlman will share some of their experiences from the DLI and TCA receiverships and Teresa Verges will provide insight from a regulator's perspective.

PRODUCER

PANELISTS



Matthew Dors
Collas Crill
Grand Cayman, Cayman
Islands



Jonathan E. Perlman
Venable, LLP
Miami, FL



Dr. Aimee Prieto
Prieto Cabrera & Asociados
Santo Domingo, Dominican
Republic

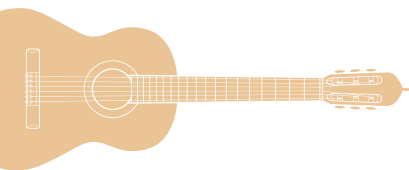


Bradley D. Sharp
Development
Specialists, Inc.
Los Angeles, CA



Teresa Verges
US Securities &
Exchange Commission
Miami, FL

Education Sessions



FRIDAY, SEPTEMBER 8, 2023 (continued)

4:00pm - 5:15pm

RECEIVERS' FEUD, A GAME SHOW

This panel/game show will test the knowledge of some of the most experienced receivers, attorneys and regulators against each other in the classic Family Feud format. Contestants will be asked about difficult and timely issues facing federal receiverships, including quasi-judicial immunity, Ponzi defenses, complex fee application issues, conflicts of interest, contingent fee scenarios, and other relevant priorities within a federal equity receivership matter.

CO-PRODUCERS



Ryan Baker

Douglas Wilson Companies
Irvine, CA



Oren Bitan

Buchalter, PC
Los Angeles, CA

PANELISTS



Mia Blackler

Lubin Olson &
Niewiadomski, LLP
San Francisco, CA



Jeffrey E. Brandlin

Brandlin & Associates
Los Angeles, CA



JonMarc Buffa

Commodity Futures Trading
Commission
Washington, DC



Michele Vives

Douglas Wilson Companies
San Diego, CA



Robert Wing

Parr Brown Gee & Loveless, PC
Salt Lake City, UT

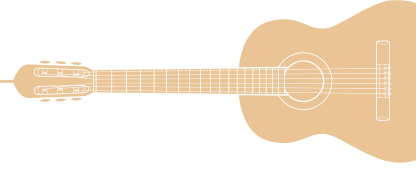


Geoff Winkler

American Fiduciary Services, LLC
Portland, OR



Education Sessions



SATURDAY, SEPTEMBER 9, 2023

9:00am – 10:15am

DANCING THE CRYPTO TWO STEP: EFFECTIVE TIPS FOR NAVIGATING DIGITAL ASSET DISPUTES

With big ticket, large volume digital asset-related litigation underway around the world, learn practical tips and pointers on how to navigate legal proceedings involving this technology from those actively handling the biggest crypto disputes both domestically and abroad.

PRODUCER



Daniel Maland
Rennert Vogel Mandler
& Rodriguez
Miami, FL

PANELISTS



Carlin Metzger
Commodity Futures
Trading Commission
Chicago, IL



**Sophia Rolle-
Kapousouzoglou**
Lennox Paxton
Nassau, The Bahamas



Nicholas Sanchez
Miller Kaplan Arase, LLP
Santa Barbara, CA



Daniel R. William
Coherent Economics
Chicago, IL

10:45am – 12:00pm

JUDGES' PANEL

PRODUCER



Hernan Serrano
Yip Associates
New York, NY

JUDGES



Hon. David O. Carter
U.S. District Court
Central District of
California

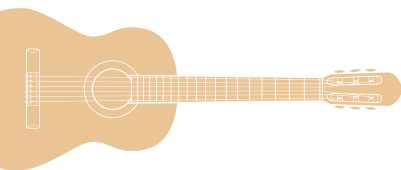


Hon. Gene E.K. Pratter
U.S. District Court, Eastern
District of Pennsylvania



Hon. Andrew B. Altenburg
U.S. Bankruptcy Court
District of New Jersey

Engagement Opportunities



There will be plenty of chances to mix and mingle with your fellow colleagues and board members. Take advantage of the meals and breaks in-between sessions as a chance to introduce yourself to someone new. On both Thursday and Friday evenings, we will host receptions for all attendees on site. Throughout the conference, say hello to board members, and make sure you introduce yourself to our President Jonathan Perlman, and Conference Co-Chairs Kyra Andrassy and Eddy Espinosa.

CLE/CPE

All sessions will be submitted to applicable states for CLE/CPE credits. CLE/CPE Credit forms will be available at the registration desk and should be completed and turned in before leaving.

HANDOUTS

All conference handouts and presentations will be available for download via the conference app. An email will be sent in advance of the conference with instructions to access & download all materials.

REGISTRATION INFO

Registration fees apply to the individual and cannot be shared among people from the same firm or company. Online registration is available at NAFER.org.

CONFERENCE REGISTRATION INCLUDES

- All educational sessions
- Access to online program materials
- Admittance to the Exhibit Hall
- Refreshment breaks
- Breakfast and lunch
- Welcome and Networking Receptions

REGISTRATION FEES

REGISTRATION TYPE	REGULAR 5/1 - 9/1	AFTER 9/1
Full or Associate Member	\$1,050	\$1,250
Full or Associate Non-Member	\$1,250	\$1,450
Young Professional Member	\$525	\$625
Young Professional Non-Member	\$625	\$725
Judges & Agency Members	\$0	\$0
Industry Member (Non-Sponsoring)	\$1,675	\$1,775
Industry Member (Non Member, Non-Sponsoring)	\$1,875	\$2,025
Social Guests (Thursday evening only)	\$175	\$195
Social Guests (Friday evening only)	\$200	\$220
Social Guests (Two evening events)	\$325	\$345

*Social Guests may not be members of NAFER or representatives of industry-related companies.

JOIN TODAY

Not a member yet? Join today to receive special offers on membership dues and conference registration costs.

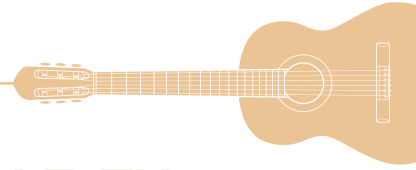
Young Professionals

If you are 40 years or younger and qualify for Full or Associate membership, take advantage of NAFER's Young Professionals offerings. You can join AND attend the conference for half the price.

Even if you aren't a Young Professional, you can still take advantage of this offer. Bring a Young Professional to the conference and receive a 20% discount off your own registration!



Hotel & Travel



GRAND HYATT, NASHVILLE, TN

NAFER's 12th Annual Conference will be held at the Grand Hyatt on Broadway in Nashville, TN. Overlooking famed Broadway and downtown Nashville, Grand Hyatt Nashville is ideally situated within walking distance to all of Music City's points of interest, including Bridgestone Arena, Ryman Auditorium, honky-tonks, Assembly Food Hall and more.

Hotel Rate

NAFER has secured a special rate of \$299 for September 6-9. To ensure you receive these special rates, be sure to book your room before **August 15th**. [CLICK HERE](#) to make your reservations online at the host hotel. Reservations by phone can be made at: + 1 888 591 1234 and referencing the NAFER Annual Conference. All attendees who book their reservation within the NAFER block will receive double traveler points in the World of Hyatt loyalty program.

Hotel reservations can be made [HERE](#).

Airline Discount Codes

Delta Air Lines and United Airlines are pleased to offer special discounts for NAFER attendees!

Delta Airlines: NY28D **United Airlines: ZMZR818815**

Please visit the Annual Conference event page for more information.

<https://www.nafer.org/nafer-events/2023-annual-conference>

CONNECT WITH YOUR TARGET MARKET

Sponsorship

This Annual Conference offers you an opportunity to engage with leading professionals by exhibiting your services and developing relationships with key decision makers. Your participation showcases your company's commitment as a valuable business partner within our organization. We can't have a successful conference without your key input and participation, so we've created multiple opportunities for contribution at every level.

Sponsorships are still available at all levels. [Click Here](#) to download the **2023 Sponsor Catalog** and then contact [NAFER](#) to sign up!



QUESTIONS

If you have any questions about the **2023 NAFER Annual Conference** and registration procedures, please contact us by phone at **+1 888 778 5933** or email naferstaff@nafer.org and a member of the team will be happy to assist you.

NAFER EVENT PARTICIPANT TERMS & CONDITIONS

<https://www.nafer.org/wp-content/uploads/2023/06/Terms-Conditions-Annual-2023.pdf>

Annual Conference Planning Committee

Thank you to our planning committee for the hours of commitment spent on planning this year's conference!

Kyra Andrassy, Co-Chair

Smiley Wang-Ekval, LLP
Costa Mesa, CA

Eddy Espinosa, Co-Chair

Akerman LLP
Dallas, TX

Ryan Baker, Associate Chair

Douglas Wilson Companies
Irvine, CA

**Kenton Johnson, Associate
Chair**

Stapleton Inc.
Solana Beach, CA

Oren Bitan

Buchalter, PC
Los Angeles, CA

Ira Bodenstein

Cozen O'Connor
Chicago, IL

Jeffrey Brandlin

Brandlin & Associates
Los Angeles, CA

Julia Damasco

Miller Kaplan Arase LLP
San Francisco, CA

Melanie Damian

Damian & Valori LLP
Miami, FL

Matthew Dors

Collas Crill
Grand Cayman, Cayman Islands

Kevin Duff

Rachlis Duff & Peel LLC
Chicago, IL

John Hall

American Fiduciary Services
Portland, OR

Peter King

Peter B. King Mediation
Tampa, FL

Sergio Kopelev

Stroz Friedberg
Los Angeles, CA

John Lewis, Jr.

Shook, Hardy & Bacon
Houston, TX

Daniel Maland

Rennert Vogel Mandler &
Rodriguez
Miami, FL

Elizabeth McIntosh

Venable LLP
Miami, FL

Bob Mosier

Mosier & Company Inc.
Costa Mesa, CA

Michael Napoli

Akerman LLP
Dallas, TX

Joshua Olshin

Auction Advisors
New York NY

Jared Perez

Jared J. Perez P.A.
Clearwater, FL

Jonathan Perlman

Venable LLP
Miami, FL

Ron Peterson

Jenner & Block LLP
Chicago, IL

Kathy Bazoian Phelps

Raines Feldman LLP
Los Angeles, CA

Hernan Serrano

Yip Associates
New York, NY

Deborah Williamson

Dykema
San Antonio, TX

Geoff Winkler

American Fiduciary Services
Portland, OR