



JW Marriott • Washington, DC



AGENDA AT A GLANCE

Thursday, September 15, 2022

1:00pm – 1:30pm	Welcome & Announcements
1:30pm – 2:30pm	How to Draft an Effective Status Report and Get Your Fee Application Approved
2:30pm – 2:45pm	Break with our Sponsors
2:45pm – 3:45pm	The Joys of Receiverships: If the Stress Doesn't Kill You, Angry Victims Might
3:45pm – 4:00pm	Break with our Sponsors
4:00pm – 5:15pm	Balancing Confidentiality, Disclosure, and Transparency in Federal Equity Receiverships
5:30pm – 6:30pm	Young Professionals Happy Hour
6:30pm – 7:30pm	Welcome Reception
7:30pm	Sponsor Dinners by Invitation

Friday, September 16, 2022

8:00am – 9:00am	Breakfast & Announcements
9:00am – 10:00am	Advanced Claims Procedures and Distribution Considerations
10:00am – 10:30am	Break with our Sponsors
10:30am – 11:30am	Interagency Cooperation
11:30am – 1:00pm	Luncheon sponsored by East West Bank
1:00pm – 2:00pm	The Cyber Side of Receiverships: Tales from the Battlefield
2:00pm – 2:30pm	Break with our Sponsors
2:30pm – 3:30pm	Inclusion is Good for Business sponsored by Miller Kaplan Arase LLP
3:30pm – 4:00pm	Break with our Sponsors
4:00pm – 5:15pm	Receiver Feud, A Game Show
5:45pm – 7:00pm	NAFER Reception

Saturday, September 17, 2022

7:00am – 8:00am	Breakfast & Announcements
8:00am – 9:00am	NAFER Annual Meeting
9:00am – 10:15am	Use of Bankruptcy in Equity Receiverships
10:15am – 10:45am	Break with our Sponsors
10:45am – 12:00pm	Judges Panel



NAFER is looking forward to its 11th Annual Conference at the JW Marriott in Washington, DC, September 15 - 17, 2022. Make plans to connect with your fellow NAFER members, receivers, their attorneys and other professionals, judges and regulators, and learn about trending issues in the receivership industry. This year the conference kicks off on Thursday, September 15 at 1:00 PM with education sessions and a welcome reception. Stay through until Saturday afternoon when our panel of esteemed judges will address key topics from pre-appointment of a receiver through dismissal.

ABOUT NAFER

The National Association of Federal Equity Receivers (NAFER) is made up of leading professionals who work **in the area of** receivership, insolvency, bankruptcy, restructuring and international asset recovery.

NAFER's mission is to be the preeminent organization for federal equity receivers, their professionals, and others seeking to become involved in federal equity receivership work. NAFER **is dedicated to providing** excellent receivership education and networking opportunities and instilling confidence in those selecting NAFER receivers and their professionals.

Education Sessions

Earn CLE/CPE credits with this distinguished lineup of education!



THURSDAY, SEPTEMBER 15, 2022

1:30pm – 2:30 pm

HOW TO DRAFT AN EFFECTIVE STATUS REPORT AND GET YOUR FEE APPLICATION APPROVED

Status reports are one of the primary ways of communicating updates and important information to the Court as well as to investors and interested parties. This panel will help provide strategies and guidance on what to include in these reports, what to leave out, and to make sure your reporting is effective and informative. It will also cover tips on how to best position your fee applications and what pitfalls to avoid. Hear from the experts as well as from the perspectives of a government regulator and Judge on the best practices for your status reports and fee applications.

PRODUCER



Ryan Baker

Douglas Wilson Companies
Irvine, CA

PANELISTS



Eduardo Espinosa

Akerman LLP
Dallas, TX



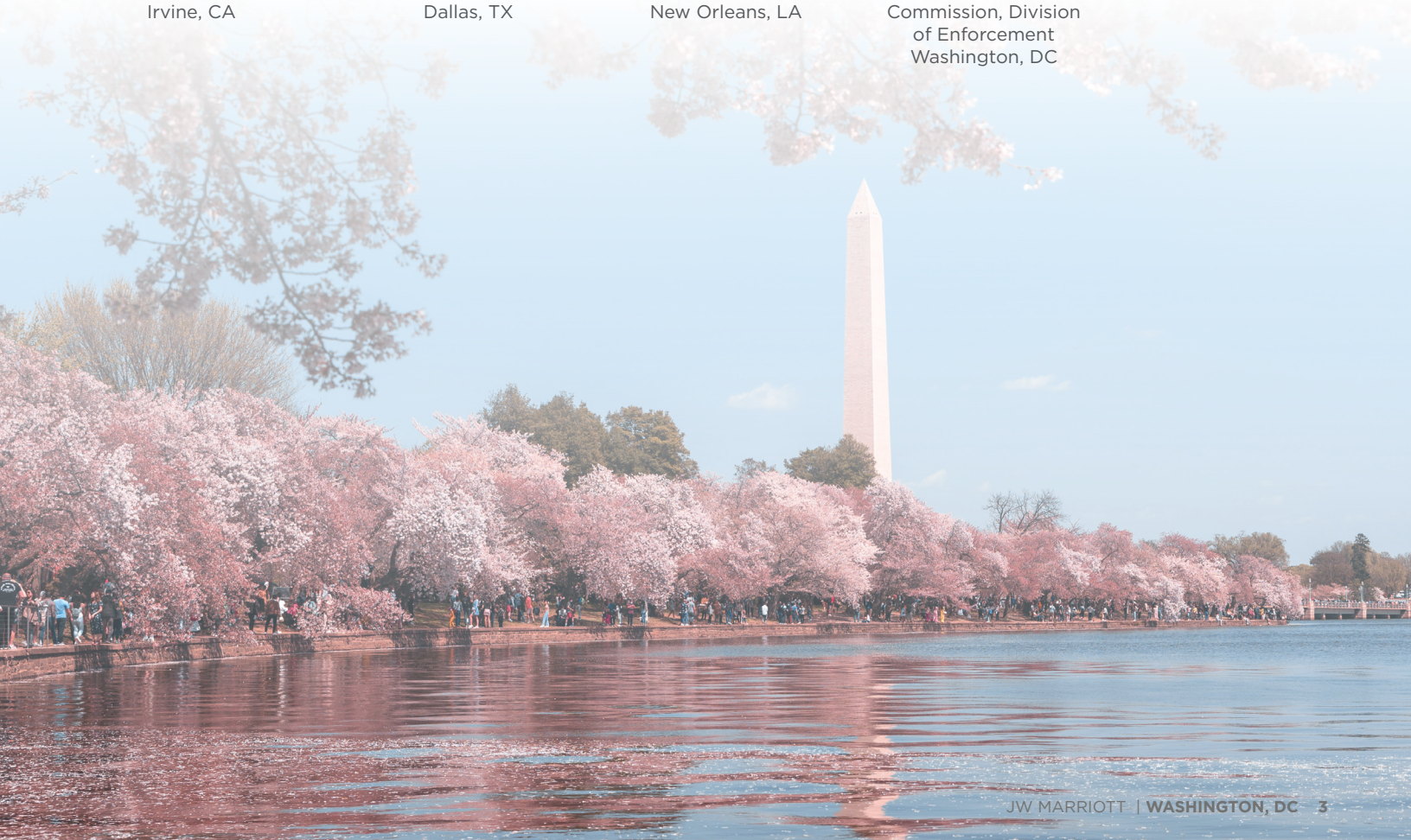
Alysson Mills

Mills & Amond LLP
New Orleans, LA



Jonathan Ware

Federal Trade
Commission, Division
of Enforcement
Washington, DC





THURSDAY, SEPTEMBER 15, 2022 (continued)

2:45pm – 3:45 pm

THE JOYS OF RECEIVERSHIPS: If the Stress Doesn't Kill You, Angry Victims Might

Being appointed receiver causes enormous stress: everyone else involved in the case knows more than you do. You have to do a takedown of a business in a hostile environment. You worry (or should worry) about what assets are being secreted and what records are being destroyed. You have to make quick decisions about what businesses to keep operating and what employees to keep—and you will regret some of those decisions. You wonder if there will be sufficient assets to cover expenses.

Investors hate you for killing the golden goose. You begin an uneasy, transformative relationship with the victims. You want them to see you as savior, not executioner. Initially, you are the personification of the destruction of their dreams. You are the messenger, telling them they were stupid for trusting a promoter. You may need their records and testimony. You don't want them working against you and expressing support for the promoter. How do you help them through their five stages of grief?

This panel of experienced receivers and a skilled counselor will identify strategies to not only enable receivers to cope with stresses they face but also convert unhappy victims into appreciative and cooperative supporters.

PRODUCER



Wayne Klein

Klein & Associates PLLC
Salt Lake City, UT

PANELISTS



Melanie Damian

Damian & Valori, LLP |
Culmo Trial Attorneys
Miami, FL



Niki Irish

DC Bar Lawyer Assistance
Program (LAP)
Washington, DC



4:00pm – 5:15pm

BALANCING CONFIDENTIALITY, DISCLOSURE, AND TRANSPARENCY IN FEDERAL EQUITY RECEIVERSHIPS

Confidentiality and disclosure are common and conflicting threads woven into the fabric of all federal equity receiverships. A receiver and his or her professionals will encounter confidentiality obligations and challenges in asset recovery, forensic collection and analysis, privilege waivers, claims submissions, protective orders, settlements, and sharing information with enforcement agencies, prosecutors, and third parties. Disclosures may take the form of status reports, receivership web site content, pleadings, and production in response to subpoenas. Receivership participants need information and may clamor for and demand greater disclosure and increased transparency. Our panelists bring a depth of experience as receivers, agency enforcement counsel, prosecutors, and receivership counsel to explore these issues, receiver duties, competing interests, and best practices, and present a dynamic discussion of considerations, lessons learned, and strategies that will be interesting and informative to all receivership practitioners.

PRODUCER



Kevin Duff
Rachlis Duff & Peel, LLC
Chicago, IL



JonMarc Buffa
Commodity Futures
Trading Commission
Washington, DC



John J. Carney
BakerHostetler
New York, NY



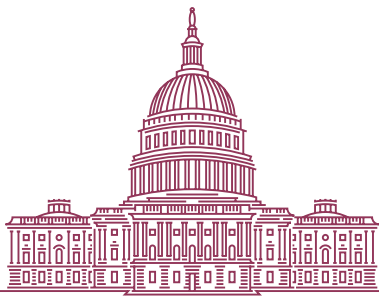
Hon. Maria Gall
Eighth Judicial District
Court
Clark County, NV



Molly White
McGuireWoods
Los Angeles, CA

PANELISTS





FRIDAY, SEPTEMBER 16, 2022

9:00am – 10:00am

ADVANCED CLAIMS PROCEDURES AND DISTRIBUTION CONSIDERATIONS

This panel will explore high level claims and distribution issues, including a discussion about the methodologies used by the Office of Distributions at the SEC and the equities that the SEC considers. The panelists will address hybrid methodologies and complex issues that arise in the noticing and distribution processes, particular in international cases or cases with thousands of victims.

PRODUCER



Kathy Bazoian Phelps
Raines Feldman LLP
Los Angeles, CA

PANELISTS



Nancy Burton
U.S. Securities &
Exchange Commission
Washington, DC



Thomas Dunn
U.S. Securities &
Exchange Commission
Washington, DC



John Hall
American Fiduciary Services
Portland, OR



Michael Thompson
Greenberg Traurig
Salt Lake City, UT

10:30am – 11:30am

INTERAGENCY COOPERATION

This panel will address best practices for receivers and their counsel when interacting with government agencies in the civil and criminal contexts. Frequently, when a parallel criminal or civil forfeiture proceeding is filed, receivers must communicate and cooperate not only with the agency overseeing the receivership (typically, the SEC, FTC, CFTC) but also with the Department of Justice, FBI, and other criminal government agencies. The panel will feature experienced receivers/receiver's counsel, attorneys and agents from the government agencies who oversee receiverships who will provide tips regarding when receivers should share information, the types of information typically shared, and how best to share that information, all with the ultimate goal of benefitting the victims of fraud. The issue of waiving the attorney-client privilege may also be addressed on this panel.

PRODUCER



Melanie Vartabedian
Ballard Spahr LLP
Salt Lake City, UT

PANELISTS



Joseph Grekin
Schafer and Weiner, PLLC
Bloomfield Hills, MI



Taryn Guarglia
Federal Bureau of
Investigation
City, State



Evelyn B. Sheehan
Kobre & Kim LLP
Miami, FL



Sarah Waldrop
Federal Trade
Commission, Criminal
Liaison Unit
Washington, DC



1:00pm – 2:00pm

THE CYBER SIDE OF RECEIVERSHIPS:

Tales from the Battlefield

FTC, SEC or State AG receiverships require precision planning and execution on many levels. Every company today has a complex cyber footprint inside the enterprise that spans not only company owned servers and endpoints but all through the cloud and even employee-owned computers and mobile devices. This requires receivership teams to make sure they construct the right joint response force with legal, financial, and cyber capabilities. They must also have the ability to work collaboratively and efficiently to deploy, collect, process, host and review massive amounts of critical electronic information to make the receivership a success. Information that some employees will claim is private, privileged or simply not available. How will you develop strategies to overcome these challenges? Challenges that span not only technical but also legal arenas. This panel will gather experts in receiverships, legal and cyber to present a mock evidentiary hearing which will examine various issues present on the “Digital Battlefield.”

PRODUCER



Sergio Kopelev
Stroz Friedberg
Los Angeles, CA

PANELISTS



Todd Ranta
PricewaterhouseCoopers
Dallas, TX



Hon. Melissa Damian
U.S. Magistrate Court
Southern District of
Florida



Alise Johnson
U.S. Securities &
Exchange Commission
Miami, FL



Quenton Sallows
Federal Deposit Insurance
Corporation, Office of
Inspector General
Arlington, VA

2:30pm – 3:30pm

INCLUSION IS GOOD FOR BUSINESS

SPEAKER



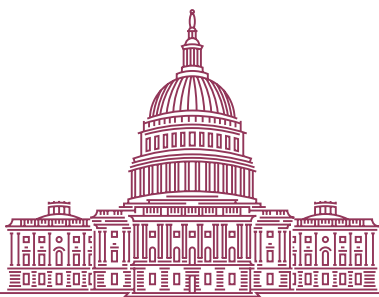
Kimberly Ellison-Taylor
KET Solutions, LLC

Session Sponsored by



Unprecedented times require new strategies and ways to engage with clients, customers and business partners. Business as usual approaches will simply no longer work. After years of hearing about Diversity, Equity and Inclusion as a social movement, learn how it's the key to competitive advantage and a strategic approach to business growth, greater collaboration, and increased retention. Now more than ever, organizations and trusted advisors are charged with helping clients navigate the uncertainty of economic turmoil, political tension, and heightened discussions of diversity, equity and inclusion. Within this complex environment, you are successful but for how long? Calls for greater diversity, equity and inclusion programs will only increase. Imagine what more you could do with a better understanding of how it is possible to do both good and well at the same time-not just for a few but for everyone. Inclusion really is good for business.

Join Kimberly Ellison-Taylor, CEO of KET Solutions LLC and Chair of the AICPA's National Commission on Diversity and Inclusion to understand the business case of diversity, equity and inclusion; learn strategies to become a more effective and inclusive leader; identify techniques that enable increased business growth and revenue; and hear a real life case study of inclusion and allyship to implement at least 3 ways that sustainable, intentional progress can be achieved.



FRIDAY, SEPTEMBER 16, 2022 (continued)

4:00pm – 5:15pm

RECEIVER FEUD, A GAME SHOW

This panel/game show will test the knowledge of some of the most experienced receivers against each other in the class Family Fued format. Receivers will be asked about difficult and timely issues facing equity receiverships, including handling IRS disputes, filings, and refunds, complex in pari delicto issues, unique asset seizures, types of receiverships to avoid, outlandish claims objections, and priorities following the appointment of a receiver.

PRODUCER



Oren Bitan
Buchalter, PC
Los Angeles, CA

PANELISTS



Ira Bodenstein
Cozen O'Connor,
Chicago, IL



Krista Freitag
E3 Advisors
Los Angeles, CA



Charlene Koonce
Brown Fox PLLC
Dallas, TX



James Sallah
Sallah Astarita & Cox
Boca Raton, FL



David Stapleton
Stapleton Group
Los Angeles, CA



Peter Zlotnick
Moritt Hock & Hamroff LLP
New York, NY



SATURDAY, SEPTEMBER 17, 2022

9:00am – 10:15am

USE OF BANKRUPTCY IN EQUITY RECEIVERSHIPS

The Bankruptcy Code, 11 U.S.C. § 101 et seq., contains statutory provisions that guide a bankruptcy fiduciary's collection and liquidation of assets, as well as the distribution of assets through a court-approved plan. These provisions, as well as bankruptcy case law, may inform an equity receiver's tasks and goals. This session will provide an overview of the bankruptcy concepts that may have application in equity receiverships with the goal of enhancing your ability to recover, liquidate and distribute assets.

PRODUCER



Peggy Hunt
Greenberg Traurig
Salt Lake City, UT

PANELISTS



Leslie Berkoff
Moritt Hock & Hamroff LLP
Garden City, NY



Hon. Mary Jo Heston
U.S. Bankruptcy Court,
Western District of
Washington



N. Neville Reid
Fox Swibel Levin &
Carroll LLP
Chicago, IL

10:45am – 12:00pm

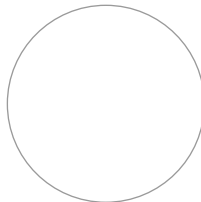
JUDGES PANEL

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PRODUCER



Jonathan Perlman
Genovese Joblove &
Battista P.A.
Miami, FL



TBD
Company
City, State

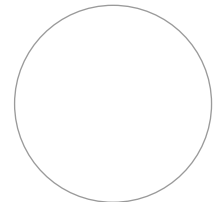
PANELISTS



Hon. Melissa Damian
U.S. Magistrate Court
Southern District of
Florida



Hon. Mary Jo Heston
U.S. Bankruptcy Court
Western District of
Washington



TBD
Company
City, State



Engagement Opportunities

There will be plenty of chances to mix and mingle with your fellow colleagues and Board members. Take advantage of the meals and breaks in-between sessions as a chance to introduce yourself to someone new. On both Thursday and Friday evenings, we will host receptions for all attendees on site. Throughout the conference, say hello to board members, and make sure you introduce yourself to our President Hernan Serrano, and Conference Co-Chairs Kyra Andrassy and Geoff Winkler.

CLE/CPE

All sessions will be submitted to applicable states for CLE/CPE credits. CLE/CPE Credit forms will be available at the registration desk and should be completed and turned in before leaving.

HANDOUTS

All conference handouts and presentations will be available for download via the conference app. An email will be sent in advance of the conference with instructions to access & download all materials.

REGISTRATION INFO

Registration fees apply to the individual and cannot be shared among people from the same firm or company. Online registration is available at [NAFER.org](https://www.nafer.org).

CONFERENCE REGISTRATION INCLUDES

- All educational sessions
- Refreshment breaks
- Access to online program materials
- Breakfast and lunch
- Admittance to the Exhibit Hall
- Welcome and Networking Receptions

REGISTRATION FEES

REGISTRATION TYPE	REGULAR 8/1 - 9/9	AFTER 9/9
Full or Associate Member	\$1,025	\$1,225
Full or Associate Non-Member	\$1,200	\$1,400
Young Professional Member	\$512.50	\$612.50
Young Professional Non-Member	\$600	\$700
Judges & Agency Members	\$0	\$0
Industry Member (Non-Sponsoring)	\$1,625	\$1,725
Industry Member (Non Member, Non-Sponsoring)	\$1,825	\$1,925
Social Guests (Thursday evening only)		
Social Guests (Friday evening only)		
Social Guests (Two evening events)		

**Social Guests may not be members of NAFER or representatives of industry-related companies.*

JOIN TODAY

Not a member yet? Join today to receive special offers on membership dues and conference registration costs.

Young Professionals

If you are 40 years or younger and qualify for Full or Associate membership, take advantage of NAFER's Young Professionals offerings. You can join AND attend the conference for half the price.

Even if you aren't a Young Professional, you can still take advantage of this offer. Bring a Young Professional to the conference and receive a 20% discount off your own registration!



[REGISTER HERE](#)

Hotel & Travel



JW MARRIOTT, WASHINGTON, DC

NAFER's 11th Annual Conference will be held at the JW Marriott in Washington, DC. Situated on Pennsylvania Avenue, in downtown Washington, DC, this luxury hotel places you near many local attractions, including the White House, National Mall, the Smithsonian Institution and more. Find exquisite dining options, including a relaxed American restaurant and a vibrant lounge at our hotel. Work out in our 24-hour fitness center or manage work-related tasks in the business center. Relax in our intuitively designed hotel rooms and spacious suites that offer elegant furnishings and modern technology. Many hotel rooms boast incredible views of downtown Washington, DC, and its famed monuments.

Hotel Rate

NAFER has secured a special rate of \$289 for September 13-17. To ensure you receive these special rates, be sure to book your room before **August 23rd**. [CLICK HERE](#) to make your reservations online at the host hotel. Reservations by phone can be made at: 1-800-393-2503 and referencing the NAFER Annual Conference..

Hotel reservations can be made [HERE](#).

Airline Discount Codes

American Airlines, Delta Air Lines and United Airlines are pleased to offer special discounts for NAFER attendees!

American Airlines: A4992DI Delta Airlines: NMVXP United Airlines: ZKMR-545405

Please visit the Annual Conference event page for more information.

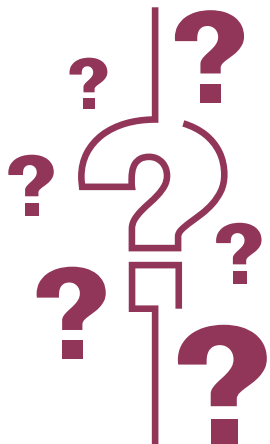
<https://www.nafer.org/nafer-events/2022-annual-conference>

CONNECT WITH YOUR TARGET MARKET

Sponsorship

This Annual Conference offers you an opportunity to engage with leading professionals by exhibiting your services and developing relationships with key decision makers. Your participation showcases your company's commitment as a valuable business partner within our organization. We can't have a successful conference without your key input and participation, so we've created multiple opportunities for contribution at every level.

Sponsorships are still available at all levels. [Click Here](#) to download the **2022 Sponsor Catalog** and then contact [NAFER](#) to sign up!



QUESTIONS

If you have any questions about the **2022 NAFER Annual Conference** and registration procedures, please contact us by phone at **+1 888 778 5933** or email naferstaff@nafer.org and a member of the team will be happy to assist you.

NAFER EVENT PARTICIPANT TERMS & CONDITIONS

nafer.org/wp-content/uploads/2022/06/Terms-Conditions-Annual-2022.pdf

Annual Conference Planning Committee

Thank you to our planning committee for the hours of commitment spent on planning this year's conference!

Kyra Andrassy, Co-Chair

Smiley Wang-Ekvall, LLP
Costa Mesa, CA

Geoff Winkler, Co-Chair

American Fiduciary Services
Portland, OR

Eddy Espinosa, Associate Chair

Akerman LLP
Dallas, TX

**Kenton Johnson, Associate
Chair**

Robb Evans & Associates
Sun Valley, CA

Ryan Baker

Douglas Wilson Companies
Irvine, CA

Oren Bitan

Buchalter, PC
Los Angeles, CA

Ira Bodenstein

Cozen O'Connor
Chicago, IL

Jeffrey Brandlin

Brandlin & Associates
Los Angeles, CA

Jacqueline Calderin

Agentis Legal Advocates &
Advisors
Coral Gables, FL

Julia Damasco

Miller Kaplan Arase LLP
San Francisco, CA

Melanie Damian

Damian & Valori LLP
Miami, FL

Peter Davis

J.S. Held LLC
Phoenix, AZ

Kevin Duff

Rachlis Duff Peel & Kaplan
LLC
Chicago, IL

Chip Hoebeke

Rehmann
Grand Rapids, MI

Peggy Hunt

Greenberg Traurig
Salt Lake City, UT

Kathy Johnson

J.S. Held LLC
Yucaipa, CA

Wayne Klein

Klein & Associates PLLC
Salt Lake City, UT

Sergio Kopelev

Stroz Friedberg
Los Angeles, CA

Bob Mosier

Mosier & Company Inc.
Costa Mesa, CA

Jonathan Perlman

Genovese Joblove Battista
Miami, FL

Ron Peterson

Jenner & Block LLP
Chicago, IL

Kathy Bazoian Phelps

Raines Feldman LLP
Los Angeles, CA

Nicholas Sanchez

Miller Kaplan Arase LLP
San Francisco, CA

Hernan Serrano

J.S. Held LLC
New York, NY

Melanie Vartabedian

Ballard Spahr LLP
Salt Lake City, UT

